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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Sakamoto et al.

Confirmation No.: 5152

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Serial No.: 10/007,100

Group Art Unit: 2633

JUN 16 2003

Filed: December 6, 2001

Examiner: To be assigned

Technology Center 2600

For: WAVELENGTH DIVISION
MULTIPLEX OPTICAL
TRANSMISSION SYSTEM

Attorney Docket No.: 2270-0042

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.56

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure imposed by 37 C.F.R. § 1.56 to inform the Patent Office of all references coming to the attention of each individual associated with the filing or prosecution of the subject application, which are or may be material to the patentability of any claim of the application, Attorneys for Applicants hereby direct the Examiner's attention to References B01 and B02 listed on the attached revised form PTO 1449. Copies of the references are included herewith.

Identification of the listed references is not to be construed as an admission of Applicants or Attorneys for Applicants that such references are available as "prior art" against the subject application. Consequently, Applicants respectfully decline to use form PTO-1449, since this form identifies all of the references cited therein as "Prior Art." as an alternative, Applicants submit herewith several pages of a "revised form PTO 1449" entitled "List of References Cited" instead of "List of Prior Art Cited."

Applicants respectfully request that the Examiner review the foregoing references and that the references be made of record in the file history of the application.



Pursuant to 37 C.F.R. § 1.97(b)(3), no fee is believed due with this submission, as it is being filed before the mailing of a first Office Action on the merits. Should any fee be due, please charge such fee to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

by: David D. Lee
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Date June 11, 2003

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Enclosures

LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY DOCKET NO.	APPLICATION NO
	2270-0042	10/0007,100
	APPLICANT	
	Sakamoto et al.	
FILING DATE	GROUP	
12/06/2001	2633	



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A01						
	A02						
	A03						
	A04						JUN 16 2003
	A05						
	A06						Technology Center 2600
	A07						
	A08						
	A09						

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FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	YES	NO
	B01	05-110517	4/30/93	Japan w/ English Abstract					X
	B02	06-090224	3/29/94	Japan w/ English Abstract					X
	B03								
	B04								
	B05								

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	C01	
	C02	
	C03	

EXAMINER	DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.